## **EXHIBIT P**

## Canadian Environmental Protection Act, 1999

## PRIORITY SUBSTANCES LIST ASSESSMENT REPORT

## FOLLOW-UP TO THE STATE OF SCIENCE REPORT, 2000

Aluminum Chloride Aluminum Nitrate Aluminum Sulphate

Chemical Abstracts Service Registry Numbers 7446-70-0 13473-90-0 10043-01-3

**Environment Canada Health Canada** 

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### LIST OF ACRONYMS AND ABBREVIATIONS

Aβ amyloid beta

ACH aluminum chlorohydrate
AD Alzheimer's disease

AD<sub>AF</sub> chemical-specific animal to human toxicodynamic adjustment

factor

ADP adenosine diphosphate

ADRDA Alzheimer's Disease and Related Disorders Association

AK<sub>AF</sub> chemical-specific animal to human toxicokinetic adjustment

factor

AMS accelerator mass spectrometry

ApoE apolipoprotein E

ASFA Aquatic Sciences and Fisheries Abstracts (World Health

Organization Food and Agricultural Organization)

ATP adenosine triphosphate

ATPase class of enzymes that catalyze the decomposition of ATP into

ADP

ATSDR Agency for Toxic Substances and Disease Registry (U.S.

Department of Health and Human Services)

BAF bioaccumulation factor BCF bioconcentration factor

BIOSIS Biosciences Information Services

CAplus Chemical Abstracts Plus

CAB Commonwealth Agricultural Bureaux cyclic adenosine monophosphate CAS Chemical Abstracts Service

CASReact CAS Reaction

CBTB Canadian Brain Tissue Bank

CEPA Canadian Environmental Protection Act
CEPA 1999 Canadian Environmental Protection Act, 1999

CESARS Chemical Evaluation Search and Retrieval System (Ontario

Ministry of the Environment and Michigan Department of

Natural Resources)

cGMP cyclic guanosine monophosphate
ChemCats Chemical Catalogs online
Chemlist regulated Chemicals Listing

CHRIS Chemical Hazard Release Information System

CI confidence interval

CSHA Canadian Study of Health and Aging

CT computer tomography
CTV Critical Toxicity Value

D<sub>a</sub> administered dose

 $\begin{array}{ccc} D_b & & \text{base diet dose} \\ D_c & & \text{cumulative dose} \end{array}$ 

DIN Drug Identification Number
DNA deoxyribonucleic acid
DOC dissolved organic carbon
DOM dissolved organic material

DSM Diagnostic and Statistical Manual of Mental Disorders

(American Psychiatric Association)

dw dry weight

DWTP drinking water treatment plant

EC<sub>50</sub> median effective concentration

ECETOC European Centre for Ecotoxicology and Toxicology of

Chemicals

EDI estimated daily intake

EEM Environmental Effects Monitoring

ELIAS Environmental Library Integrated Automated System

(Environment Canada library)

EPA Environmental Protection Agency (U.S.)
ETAAS electrothermal atomic absorption spectroscopy

FAO Food and Agricultural Organization (United Nations)

GD gestational day

GEOREF Geo Reference Information System (American Geological

Institute)

GLP good laboratory practice

GVRD Greater Vancouver Regional District

GVS&DD Greater Vancouver Sewerage & Drainage District

HD<sub>AF</sub> chemical-specific human variability toxicodynamic adjustment

factor

HK<sub>AF</sub> chemical-specific human variability toxicokinetic adjustment

factor

HSDB Hazardous Substances Data Bank (U.S. National Library of

Medicine)

ICD International Classification of Diseases (World Health

Organization)

IM intramuscular

IPCS International Programme on Chemical Safety (World Health

Organization)

IV intravenous

JECFA Joint FAO/WHO Expert Committee on Food Additives

KASAL alkaline aluminum phosphate and dibasic sodium phosphate

LC<sub>50</sub> median lethal concentration

LD<sub>50</sub> median lethal dose

LOAEC lowest observed adverse effect concentration

LOEC lowest observed effect concentration

LOEL lowest observed effect level

LSA Ontario Longitudinal study of Aging

MEDLINE Medical Literature Analysis and Retrieval System Online (U.S.

National Library of Medicine)

MINEQL+ chemical equilibrium modeling software MMAD mass median aerodynamic diameter MMSE Mini-Mental State Examination

MOE margin of exposure

mRNA messenger ribonucleic acid

MS multiple sclerosis

MWWTP municipal wastewater treatment plant

NADPH nicotinamide adenine dinucleotide phosphate

NATES National Analysis of Trends in Emergencies System

NEMISIS National Enforcement Management Information System and

Intelligence System

NFT neurofibrillary tangles

NICNAS National Industrial Chemicals Notification and Assessment

Scheme (Australian Government Department of Health and

Aging)

NIH National Institutes of Health (U.S. Department of Health and

Human Services)

NINCDS National Institute of Neurological and Communicative Disorders

and Stroke

NOEC no observed effect concentration

NOEL no observed effect level

NTIS National Technical Information Service (U.S. Department of

Commerce)

OECD Organisation for Economic Co-Operation and Development

OR odds ratio

p value the probability of obtaining a value of the test statistic at least as

extreme as the one that was actually observed, given that the null

hypothesis is true

PAC polyaluminum chloride

PAQUID Principle lifetime occupation and cognitive impairment in a

French elderly

PAS polyaluminum sulphate

PASS polyaluminum silicate sulphate

PEC Predicted Environmental Concentration

PM particulate matter

PM<sub>2.5</sub> particulate matter less than 2.5 micrometers in aerodynamic

diameter

PM<sub>10</sub> particulate matter less than 10 micrometers in aerodynamic

diameter

PND postnatal day

PNEC Predicted No-Effect Concentration

POLTOX Cambridge Scientific Abstracts (U.S. National Library of

Medicine)

PPP2 Protein Phosphatase 2
PSL Priority Substances List

PSL2 Second Priority Substances List

PTEAM Particle Total Exposure Assessment Methodology

PubMed free Internet access to MEDLINE

RMOC Regional Municipality of Ottawa-Carleton

RR relative risk

RTECS Registry of Toxic Effects of Chemical Substances (U.S. National

Institute for Occupational Safety and Health)

SALP sodium aluminum phosphate

SOS State of the Science

 $t_{\frac{1}{2}}$  half-life

TDI total dietary intake

Tf transferrin

 $TNF^{-\infty}$  alpha tumour necrosis factor

TOXLINE toxicology database (U.S. National Library of Medicine)

TRI93 Toxic Chemical Release Inventory (U.S. Environmental

Protection Agency, Office of Toxic Substances)

TSS total suspended solids

USEPA-ASTER Assessment Tools for the Evaluation of Risk (U.S.

Environmental Protection Agency)

V<sub>d</sub> volumes of distribution

WASTEINFO Waste Management Information (Bureau of the American

Energy Agency)

WHAM Windermere Humic-Aqueous Model software designed to

calculate equilibrium chemical speciation in surface and ground

waters, sediments and soils

WHO World Health Organization

### **SYNOPSIS**

The three aluminum salts, aluminum chloride, aluminum nitrate and aluminum sulphate, were included on the Second Priority Substances List (PSL2) under the *Canadian Environmental Protection Act*, 1999 (CEPA 1999) in order to assess the potential environmental and human health risks posed by exposure to aluminum derived from these three salts in Canada.

In December 2000, the PSL2 assessment of the three aluminum salts was formally suspended due to limitations in the available data for assessing health effects. At the same time, a State of the Science report (Environment Canada and Health Canada 2000) on the three aluminum salts was released, providing an in-depth review of toxicity and exposure information relating to human health and the environment. During the suspension period, additional health effects information was published in the scientific literature, and they are considered here.

In Canada, municipal water treatment facilities are the major users of aluminum chloride and aluminum sulphate, accounting for 78% of the estimated 16.1 kilotonnes of the 2006 domestic consumption. Industrial water and wastewater treatment, and use in the pulp and paper industry, account for an additional 20%. Aluminum sulphate and aluminum chloride are also used as ingredients in drugs and cosmetics, such as antiperspirants and topical creams. Aluminum sulphate is permitted as a food additive in a limited number of products. Aluminum nitrate, used in far less quantities than the sulphate and chloride salts, may be used in fertilizers, and as a chemical reagent in various industries.

Aluminum salts occur naturally in small quantities in restricted geological environments and aluminum can be released into the Canadian environment from these natural sources. However, since aluminum is present in relatively large amounts in most rocks, dominantly in aluminosilicate minerals, which weather and slowly release aluminum to the surface environment, the small amounts of aluminum in surface waters resulting from weathering of aluminum salts such as aluminum sulphate cannot be distinguished from other natural aluminum releases.

During their use in water treatment, aluminum salts react rapidly, producing dissolved and solid forms of aluminum with some release of these to Canadian surface waters. The amount of anthropogenic aluminum released nationally in Canada is small compared with estimated natural aluminum releases; however anthropogenic releases can dominate locally near strong point sources. Most direct release into surface waters of aluminum derived from the use of aluminum salts in water treatment processes originates from drinking water treatment plants (DWTPs). However, direct releases of process waters from DWTPs are regulated by many provincial and territorial authorities, and these releases typically occur in circumneutral water, where the solubility of aluminum is minimal. Disposal of sludge produced by municipal and industrial water treatment facilities on land through landfarming practices is a source of aluminum to the terrestrial environment. However, the presence of dissolved organic matter and inorganic chelating agents will lower the amount of bioavailable aluminum in both the terrestrial and aquatic environments.

While extensive recent data on total aluminum concentrations in Canadian surface waters are available, few data exist on levels in areas close to sites where releases occur. The situation for sediment and soil is similar, in that data exist for the Canadian environment in general, but not for areas where releases occur. A large number of environmental toxicity data are available for acidified environments, but relatively few exist for circumneutral environments similar to those where most releases occur.

Based on a comparison of highest measured and estimated aluminum levels present in both aquatic and terrestrial environments in Canada that receive direct inputs of aluminum from the use of the three aluminum salts, and Predicted No-Effect Concentrations (PNECs) derived from experimental data for aquatic and terrestrial biota, it is considered that, in general, it is unlikely that organisms are exposed to harmful levels of aluminum resulting from the use of aluminum salts in Canada. However, it is acknowledged that under some release conditions there is potential for local impacts to benthic organisms related to the settling of aluminum sludge from DWTPs onto the sediment surface. As such, it is proposed that the three aluminum salts (i.e., aluminum chloride, aluminum nitrate, aluminum sulphate) are not entering the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity.

With respect to human health, both epidemiological and experimental animal data were reviewed. Considering experimental animal studies, the dose at which neurotoxic, reproductive, and developmental effects have been repeatedly observed was used to establish an exposure level of concern.

General population exposure to total aluminum was quantified. With respect to the three salts—aluminum chloride, aluminum nitrate, and aluminum sulphate—their contribution to total aluminum exposure can only be qualitatively estimated, however, the only media in which the mean concentration may be significantly affected by the use of these salts is drinking water, in which aluminum sulphate or aluminum chloride may be added during the treatment process. As a surrogate for quantitative exposure estimation it was assumed that all aluminum in drinking water is derived from aluminum chloride and aluminum sulphate. Comparison of the exposure level of concern to the age-group with the highest average daily intake of total aluminum from drinking water results in a margin of exposure that is considered adequate.

Based on the information available for human health and the environment, it is proposed that the three aluminum salts, aluminum chloride, aluminum nitrate, aluminum sulphate, are not entering the environment in a quantity or concentration or under conditions that have or may have an immediate or long-term harmful effect on the environment or its biological diversity or that constitute or may constitute a danger to the environment on which life depends. It is also proposed that aluminum from aluminum chloride, aluminum nitrate and aluminum sulphate, are not entering the environment in a quantity or concentration or under conditions that constitute or may constitute a danger in Canada to human life or health. It is therefore proposed that aluminum chloride, aluminum nitrate and aluminum sulphate do not meet the definition of "toxic" under section 64 of the Canadian Environmental Protection Act, 1999.

### 1 INTRODUCTION

The Canadian Environmental Protection Act, 1999 (CEPA 1999) requires the Ministers of the Environment and of Health to prepare and publish a Priority Substances List (PSL) that identifies substances (including chemicals, groups of chemicals, effluents and wastes) that may be harmful to the environment or constitute a danger to human health. The Act also requires both Ministers to assess these substances to determine whether they meet or are capable of meeting the criteria as defined in section 64 of the Act. A substance meets the criteria under CEPA 1999 if it is entering or may enter the environment in a quantity or concentration or under conditions that:

- (a) have or may have an immediate or long term harmful effect on the environment or its biological diversity;
- (b) constitute or may constitute a danger to the environment on which life depends; or
- (c) constitute or may constitute a danger in Canada to human life or health.

For substances deemed to meet the criteria defined in section 64, risk management measures are identified and implemented in consultation with stakeholders, in order to reduce or eliminate the risks posed to human health or the environment. These measures may include regulations, guidelines, pollution prevention plans or codes of practice to control any aspect of the life cycle of the substance, from the research and development stage through to manufacture, use, storage, transport and ultimate disposal.

Based on initial screening of readily accessible information, the rationale provided by the Ministers' Expert Advisory Panel in 1995 for including aluminum chloride, aluminum nitrate and aluminum sulphate on the Second Priority Substances List was as follows (Environment Canada and Health Canada 2000):

"Aluminum, from both natural and man-made sources, is widespread in the Canadian environment. Intakes of aluminum among the human population and ambient airborne concentrations in some parts of the country are close to those that have induced developmental and pulmonary effects in animal studies. Epidemiological studies have indicated that there may be a link between exposure to aluminum in the environment and effects in humans. Aluminum compounds are bioaccumulative, and can cause adverse ecological effects, especially in acidic environments. The Panel identifies three aluminum compounds as being of particular concern. An assessment is needed to establish the weight of evidence for the various effects, the extent of exposure and the aluminum compounds involved. If necessary, the assessment could be expanded to include other aluminum compounds."

A preliminary report was completed for the three aluminum salts and released as a State of the Science (SOS) report in December 2000. With respect to immediate or long term harmful effects of the three aluminum salts on the environment or its biological diversity, the

report proposed that, based on measured and estimated aluminum levels in Canadian aquatic and terrestrial environments receiving direct inputs of aluminum from the use of aluminum salts and on the Predicted No-Effect Concentrations (PNECs) derived from experimental data for aquatic and terrestrial biota, it is in general unlikely that organisms are exposed to harmful levels of aluminum resulting from the use of aluminum salts in Canada.

With respect to human health, a conclusion regarding section 64(c) could not be reached in 2000, owing to the limitations in the available data for assessing health effects. Therefore, the assessment of aluminum salts was suspended in December 2000 for a period of six years to allow for the development of additional human health effects data in order that Health Canada could reach a conclusion on whether aluminum salts (chloride, nitrate and sulphate) should be considered as "toxic" under CEPA 1999.

In terms of this draft PSL2 assessment, the conclusions made under section 64 of CEPA 1999 relate directly to the three aluminum salts nominated by the Ministers' Expert Advisory Panel (chloride, nitrate, and sulphate). However, different approaches are taken by Environment Canada and Health Canada in evaluating the potential for risk.

In characterizing the potential for risk to the environment, data relevant to the entry of the three listed salts into the Canadian environment from local point sources (e.g., drinking water treatment plants) were examined in conjunction with data on environmental fate and exposure. The focus was on assessing potential for effects on the environment near point sources. This evaluation formed the basis for determining whether the three aluminum salts identified by the Ministers' Expert Advisory Panel (chloride, nitrate and sulphate) are "toxic" under section 64 of CEPA 1999.

The human health risk characterization consists of a two-stage evaluation. In the first stage, exposure of the general Canadian population to total aluminum in air, drinking water, diet, and soil is quantified. In the second stage, the relative contribution of each of the three listed aluminum salts (chloride, nitrate, and sulphate) to this total aluminum exposure is qualitatively evaluated, and a recommendation with respect to section 64(c) of CEPA is made for the three salts.

Health Canada chose this two-stage approach on the basis of both scientific and practical considerations. First, overall exposure to the aluminum moiety (Al³+), and not exposure to a particular aluminum compound, is the critical parameter for evaluating potential toxicological risk¹. Second, concentrations of aluminum in foods, soil, drinking water, and air are generally reported as total aluminum, and not in terms of specific salts, consequently it is difficult to determine with great precision the relative contribution of the three salt forms being considered. Although information on sources and uses of aluminum-containing

<sup>&</sup>lt;sup>1</sup> Note, however, that different aluminum salts are absorbed into the bloodstream to different degrees (Yokel et al. 2006) and this aspect is considered in this assessment within section 2.3.3.1.

compounds are used to characterize total aluminum exposure, the risk characterization is limited to the three specific aluminum salts.

The search strategies employed in the identification of relevant data are presented in Appendix A. All original studies that form the basis for decision making have been critically evaluated and are described in the assessment. For issues relevant to the environmental and human health effects of aluminum, but outside the scope of the present assessment, the information is summarized briefly and the reader is referred to recent critical reviews published in the scientific literature for a more detailed discussion.

The human health components of the present document were prepared by the Safe Environments Programme- Quebec Region, in collaboration with the Existing Substances Division of the Safe Environments Programme (National Capital Region) and other Health Canada programs. The environmental components were prepared by the Existing Substances Division of the Science and Technology Branch. While external peer review comments were taken into consideration, the final content and outcome of the risk assessment remain the responsibility of Health Canada and Environment Canada.

The human health components of this assessment have been peer reviewed by the following external experts:

- Dr. Diane Benford, Food Standards Agency, United Kingdom
- Dr. Nicola Cherry, University of Alberta, Edmonton, Alberta
- Dr. Rajendra Chhabra, National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina
- Dr. Herman Gibb, Sciences International, Arlington, Virginia
- Dr. Lesbia Smith, Environmental and Occupational Health Plus, Toronto, Ontario
- Dr. Robert Yokel, University of Kentucky, Lexington, Kentucky

Information relevant to environmental components of this assessment has been reviewed by the following external experts:

- Dr. Pierre-André Côté, Canadian Water and Wastewater Association, Quebec City, Quebec
- Mr. André Germain, Environment Canda, Monteal, Quebec.
- Mr. Robert Garrett, Geological Survey of Canada, Ottawa, Ontario
- Dr. William Hendershot, McGill University, Montreal, Quebec
- Mr. Christopher Lind, General Chemical Corporation, Newark, New Jersey
- Mr. Robert Roy, Fisheries and Oceans Canada, Mont-Joli, Quebec
- Mr. James Brown, Reynolds Metals Company, Richmond, Virginia
- Mr. Scott Brown, National Water Research Institute, Burlington, Ontario
- Mr. Christopher Cronan, University of Maine, Orono, Maine
- Dr. Lawrence Curtis, Oregon State University, Corvallis, Oregon
- Mr. Richard Lapointe, Société d'électrolyse et de chimie Alcan Ltée, Montreal, Ouebec

Dr. Stéphanie McFadyen, Canadian Water and Wastewater Association, Ottawa, Ontario

Dr. Wayne Wagner, Natural Resources Canada, Ottawa, Ontario

# 2 SUMMARY OF INFORMATION CRITICAL TO ASSESSMENT OF "TOXIC" UNDER CEPA 1999

### 2.1 Identity and physical/chemical properties

Aluminum chloride is also known as aluminum trichloride, aluminum chloride (1:3) and trichloroaluminum (ATSDR 2006). It has the Chemical Abstracts Service (CAS) registry number 7446-70-0 and a chemical formula of AlCl<sub>3</sub>. In its hydrated form, AlCl<sub>3</sub>•6H<sub>2</sub>O, it is called hexahydrated aluminum chloride (CAS No. 7784-13-6). Trade names include Aluwets, Anhydrol and Drichlor.

Synonyms for aluminum nitrate include aluminum trinitrate and aluminum (III) nitrate (1:3). The CAS registry number is 13473-90-0 and the chemical formula is Al(NO<sub>3</sub>)<sub>3</sub>. The nonahydrate aluminum nitrate, Al(NO<sub>3</sub>)<sub>3</sub>•9H<sub>2</sub>O (CAS No. 7784-27-2), is the stable form of this compound.

Aluminum sulphate can also be identified as alum, alumsulphate (2:3), aluminum trisulphate, dialuminum sulphate and dialuminum trisulphate. The CAS registry number for aluminum sulphate is 10043-01-3 and the chemical formula is Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>. Alum is often represented as Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>•14H<sub>2</sub>O. It may be found in different hydrated forms. The commercial product, called cake alum or patent alum, is an octadecahydrate aluminum sulphate, Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>•18H<sub>2</sub>O.

In addition to these three compounds, aluminum polymers such as polyaluminum sulphate (PAS) and polyaluminum chloride (PAC) are used in water treatment. The general formula for PAS is  $Al_a(OH)_b(SO_4)_c$ , where b+2c=3a; for PAC, the general formula is  $Al_a(OH)_bCl_c$ , where b/a is usually about 2.5 (e.g.,  $Al_2(OH)_5Cl$ ). Mixed aluminum polymers may also be used; their general formula is  $Al_a(OH)_bCl_c(SO_4)_d$ , and b/a varies between 0.4 and 0.6.

Physicochemical properties of the three aluminum salts are presented in Table 2.1.

**Table 2.1** Physicochemical properties of aluminum chloride, aluminum nitrate and aluminum sulphate <sup>1</sup>

Property	Aluminum chloride	Aluminum nitrate	Aluminum sulphate
CAS No.	7446-70-0	13473-90-0	10043-01-3
Molecular formula	AlCl <sub>3</sub>	Al(NO <sub>3</sub> ) <sub>3</sub>	$Al_2(SO_4)_3$
Molecular weight	133.34	213.00	342.14
Colour	White when pure, ordinarily gray or yellow to greenish	Colourless <sup>2</sup>	White, lustrous
Physical state	White hexagonal deliquescent or moisture sensitive plates	Rhombic crystals <sup>2</sup>	Crystals, pieces, granules or powder
Density (g/mL)	2.48	No data	1.61
Melting point (°C)	194 at 527 kPa	73 <sup>2</sup>	Decomposes at 770
Boiling point (°C)	182.7 (1.00×10 <sup>5</sup> Pa or 752 mm Hg; sublimation temperature)	Decomposes at 135°C <sup>2</sup>	No data, substance has no boiling point
Solubility in water (g/100 mL)	69.86 (15°C) (Reacts violently with water)	63.7 (25°C)	36.4 (20°C)
Solubility in other solvents	Soluble in benzene, carbon tetrachloride, chloroform	Very soluble in alcohol; slightly soluble in acetone almost insoluble in ethyl acetate, pyridine <sup>2</sup>	Insoluble in ethanol
pН	No data	Aqueous solution is acidic	No data
Vapour pressure (Pa)	100 (20°C)	No data	0 (20°C) substance has no vapour pressure

<sup>&</sup>lt;sup>1</sup> Taken from Perry and Green (1984), Budaveri et al. (1989), Lewis (1992), European Commission (2000a,b) and ATSDR (2006)

### 2.2 Entry characterization

### 2.2.1 Production, import, export and use

Aluminum sulphate and aluminum chloride are produced in Canada, while aluminum nitrate is imported. Information on sources and emissions of aluminum salts or aluminum resulting from the use of aluminum salts was initially obtained through an industry survey carried out under the authority of section 16 of CEPA (CEPA 1988; Environment Canada 1997). Information regarding the use of aluminum chloride and aluminum sulphate in water treatment plants was obtained on a voluntary basis from Canadian municipalities with the help of provincial and territorial authorities. In 2007, additional research was conducted in order to review use patterns and quantities of aluminum derived from sources identified in the original assessment, as well as to identify and quantify potential new sources of aluminum to the environment resulting from the application of aluminum salts in Canada (Cheminfo Services Inc. 2008).

<sup>&</sup>lt;sup>2</sup> Refers to aluminum nitrate nonahydrate (CAS No. 7784-27-2)

Table 2.2 provides estimated production, import, export and consumption values for the year 2006, based largely on input from Canadian aluminum salt producers. Unless otherwise stated, quantities reported in Table 2.2 and the accompanying text represent the amount of elemental aluminum present in the respective salts rather than the total amount of the salt. Polymeric forms of the chloride and sulphate are detailed separately, as these salts were found to be commonly used individually or in combination with other salts in water treatment processes. No producers or users of aluminum nitrate were identified for 2006 and, therefore, while it is likely that very small quantities were being imported into Canada in that year for a variety of low volume applications, no numerical data were available. Total Canadian consumption of aluminum as aluminum salts in 2006 was estimated at 16.1 kilotonnes, with aluminum sulphate accounting for approximately 80% of this demand, and PAC for the majority of the remainder (Cheminfo Services Inc. 2008). Approximately 80% of the total aluminum demand was for the treatment of drinking water and wastewater at municipalities. Industrial fresh water and wastewater treatment facilities accounted for the majority of the remaining demand in Canada.

**Table 2.2** Estimated production, import, export and consumption of aluminum in the form of aluminum salts in Canada for 2006 (kilotonnes aluminum; Cheminfo Services Inc. 2008)<sup>1</sup>

	Aluminum Sulphate	Aluminum Chloride	Other <sup>2</sup>	Total
Production	11.9	0.1	4.6	16.6
Imports	0.6	0.2	1.0	1.8
Total supply	12.5	0.3	5.6	18.4
Demand				
Municipal Drinking				
Water Treatment				
Plants	4.3	0.1	2.4	6.8
Municipal Wastewater		]		
Treatment Plants	5.7	0.03	0.07	5.8
Industrial Fresh Water				
Treatment	0.3	0.03	0.67	1.0
Industrial Wastewater				
Treatment	0.5	0.03	0.44	0.9
Pulp and Paper				
Additive	1.1	0.01	0.16	1.3
Miscellaneous	0.1	0.1	0.1	0.3
Total Domestic				
Consumption	12.0	0.3	3.8	16.1
Exports	0.5	0.0	1.8	2.3
Total Disposition	12.5	0.3	5.6	18.4

Ouantities reported represent elemental aluminum present in the respective aluminum salts.

<sup>&</sup>lt;sup>2</sup> This quantity represents the combined total of polyaluminum sulphate, polyaluminum chloride, aluminum chlorohydrate and sodium aluminate.

Five companies produced most of the aluminum salts used in Canada in 2006 (Cheminfo Services Inc. 2008). Imports and exports were roughly in balance, with imports representing approximately 10% of 2006 domestic consumption and exports representing approximately 14% of 2006 production. Alum, PAC and aluminum chlorohydrate (ACH) were the major imported aluminum salts, while PAC and alum were exported.

Total Canadian demand for aluminum salts remained relatively constant between 2000 and 2006 (Cheminfo Services Inc. 2008). Canada's salt producers indicate that the demand for alum and sodium aluminate declined during this period, while PAC, ACH and polyaluminum silicate sulphate (PASS) increased in use. While overall aluminum salts demand for municipal water treatment has increased slightly, use in the pulp and paper industry has dropped. The overall total amount of aluminum contained in the salts used in Canada has remained constant at close to 16 kilotonnes per year (Cheminfo Services Inc. 2008).

#### 2.2.1.1 Aluminum chloride

Aluminum chloride is used in either anhydrous or hydrated form. In the anhydrous form, it is used as a catalyst, in Friedel-Crafts reactions, in the manufacture of rubber, the cracking of petroleum, and the manufacture of lubricants. In its hydrated form, it is used by the pharmaceutical industry as an active ingredient in deodorants and antiperspirants, as well as in wood preservation, and in the manufacture of adhesives, paint pigments, resins, fertilizers and astringents (Germain et al. 2000; Pichard 2005; Merck 2006). Polymeric forms, primarily polyaluminum chloride (PAC) and the more concentrated and highly charged aluminum chlorohydrate (ACH), are used as coagulants and flocculants in water treatment.

PAC has the highest Canadian production and use volumes of the three aluminum chloride salts. PAC demand increased over the period 2000 to 2006, with greatest quantities being used in the treatment of drinking water (Cheminfo Services Inc. 2008). Similar increased demand was evident in other applications, including industrial freshwater treatment, municipal and industrial wastewater treatment, and as a pulp and paper additive (Cheminfo Services Inc. 2008). Production and demand were substantially lower for both aluminum chloride and ACH. Canadian consumption of aluminum chloride remained stable from 2000 to 2006, while ACH demand increased substantially (Cheminfo Services Inc. 2008). Most of the increased demand was associated with increased applications in industrial wastewater treatment, with slower rates of growth in other applications.

### 2.2.1.2 Aluminum nitrate

Aluminum nitrate is used as a chemical reagent (catalyst), in the leather tanning industry, as an antiperspirant, as a corrosion inhibitor, and in the manufacture of abrasives, refractories, ceramics, electric insulation, catalysts, paper, candles, pots, artificial precious stones and heat-resistant fibres (Budaveri et al. 1989; Pichard 2005). It is also used as an adsorbent in chromatography for the production of filter membranes, in radiation protection dosimetry in the uranium extraction sector, and as a nitrating agent in the food industry (Merck 2006).

There are no known producers of aluminum nitrate in Canada, and only one user was identified in a survey done in 1997 by Environment Canada (1997). This user reported that less than 400 kg of aluminum nitrate was included in fertilizers for export to the United States.

It is likely that very small quantities of aluminum nitrate are being imported into Canada for a variety of low volume applications, including laboratory uses, leather manufacturing, manufacturing of fire works, and other minor applications (Cheminfo Services Inc. 2008).

### 2.2.1.3 Aluminum sulphate

In Canada, aluminum sulphate is used primarily as a coagulant and flocculant in water and wastewater treatment. There are other applications, however, in the leather industry, the paper industry, as a mordant in dyeing, in the fireproofing and waterproofing of textiles, in resin manufacture, and in the preparation of fertilizers and paint pigments (Germain et al. 2000; Pichard 2005; Merck 2006). The Canadian Fertilizers Product Forum advises that aluminum sulphate (alum) is used as a soil pH adjuster in the Lawn and Garden industry (2008) email from The Canadian Fertilizers Product Forum to J. Pasternak, Environment Canada; unreferenced). Aluminum sulphate can also be used to waterproof concrete, decolorize petroleum products, and as a formulant in antiperspirants and pesticides (Budaveri et al. 1989). Aluminum sulphate or alum is used in the treatment of eutrophic or mesotrophic lakes, to reduce the amount of nutrients present in the water. Both alum (Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>) and sodium aluminate (Na<sub>2</sub>Al<sub>2</sub>O<sub>4</sub>) are highly effective coagulants and flocculants that adsorb and precipitate soluble phosphorus and other compounds such as organic matter, forming clumps that settle to the bottom of the lake. In saturated solutions, aluminum sulphate is considered a mild corrosive and can be applied to ulcers in concentrations of 5% to 10% to prevent mucous secretion (Pichard 2005). The substance is also used as a food additive and some foods, such as baking powder.

It is estimated that approximately 276 kilotonnes of aluminum sulphate (11.9 kilotonnes on an aluminum basis) were produced in Canada in 2006, 15 kilotonnes (0.6 kilotonnes of aluminum) were imported and 12 kilotonnes (0.5 kilotonnes of aluminum) exported (Table 2.2). Municipal drinking water and wastewater treatment plants were the main users, comprising almost 84% of the total demand for that year. Industrial water treatment facilities and the pulp and paper sector accounted for most of the remaining consumption (15.8%).

### 2.2.2 Sources and releases

Aluminum sulphate minerals such as aluminite and alunite occur naturally in Canada in certain restricted geological environments. Aluminum chloride and aluminum nitrate do not occur naturally in the environment. Aluminum can be released from natural aluminum sulphate minerals; however, since aluminum is a common constituent of rocks, where it occurs dominantly in aluminosilicate minerals (e.g., kaolinite, boehmite, clay, gibbsite, feldspar, etc.), which weather and slowly release aluminum to the surface environment. Aluminum present in surface waters due to man-made applications cannot be distinguished from natural aluminum released during weathering of aluminum-bearing minerals.

While aluminum chloride, aluminum nitrate and aluminum sulphate have many commercial applications in Canada, releases of aluminum to the environment from most commercial applications are expected to be small. However there is potential for release of relatively large amounts of aluminum resulting from the use of aluminum chloride and aluminum sulphate in water treatment plants (industrial water, drinking water or wastewater).

In this application, aluminum will react rapidly, producing sludge, usually in the form of aluminum hydroxide (Al(OH)<sub>3</sub>). Most sludge produced by municipal wastewater treatment plants (MWWTPs) or industries is sent to landfills or spread on land, with the remainder being composted, held in permanent lagoons, or incinerated prior to landfilling (Germain et al. 2000). Most provinces control DWTP waste flows through their respective systems of permits and/or approvals. Sludge purged from clarifiers or accumulated in sedimentation basins of drinking water treatment plants (DWTPs) cannot be released directly to the aquatic environment in many provinces. It may be sent to sewers, incinerated with wastewater sludge and landfilled, held in permanent lagoons, spread on land or landfilled. Likewise, backwash waters (used to clean filters) cannot be discharged directly into open water bodies in many provinces where these discharges are often subjected to requirements for pretreatment (e.g., diversion to sedimentation ponds) or diversion to MWWTPs. While many provinces do not generally allow direct discharge to surface water of any DWTP effluents containing sludges or backwash waters (e.g., Alberta, Manitoba, Ontario and New Brunswick), some of their existing plants may continue to discharge effluents directly to surface waters. Communication with provincial agencies indicates that these provinces are generally requiring some type of environmental impact assessments of the subject discharges with consideration of alternatives to direct discharge. Some existing large plants in these provinces have recently removed their DWTP direct discharges from surface water (e.g., Britannia DWTP and Lemieux Island DWTP in Ottawa, ON), or are developing plans for alternatives to direct discharge to surface waters (e.g., certain plants in Alberta). In other provinces, direct discharge may be allowed through provincial approvals systems if it is shown that the discharge results in no adverse effects (defined based on varying criteria) on the receiving body of water (e.g., Saskatchewan, Nova Scotia and Newfoundland). It should be noted that some provinces and territories either do not have any coagulant usage for drinking water treatment, or they only use very small amounts and have requirements for DWTP effluent treatment destined for surface water (e.g., Prince Edward Island, Yukon Territory, Northwest Territories and Nunavut Territory) (Environment Canada unpublished 2008a)

While most aluminum is released in particulate form, a certain proportion occurs as the dissolved metal and it is this form that is considered easily absorbed and therefore bioavailable to aquatic organisms. The following section therefore discusses aluminum releases in general, with additional emphasis given to dissolved forms. This approach was necessary because very few studies examine monomeric aluminum levels in the environment or in anthropogenic releases.

### 2.2.2.1 Natural Sources

Atmospheric deposition of aluminum on land or water is small compared with internal releases by weathering and erosion of rock, soil and sediment (Driscoll et al. 1994). Weathering and erosion of "alum"-containing rocks will release aluminum into soils and streams, in part as Al<sup>3+</sup> and other dissolved cationic and anionic species, depending on pH and the availability of complexing ions (Garrett 1998). These releases will be small, however, in relation to releases from weathering and erosion of aluminosilicate minerals.

There are no reliable estimates of the quantities of aluminum released to the environment by natural processes on a global scale, most of which comes from natural aluminosilicate minerals. Quantification of total or dissolved aluminum releases in Canada and